

FOR IMMEDIATE RELEASE

February 7, 2011 (Chicago) – Compliance11 announces general availability of its **White Paper: *Personal Trading Policy Best Practices***. The paper serves as both a yardstick to measure an existing personal trading policy against and a tool to build a new personal trading policy. Based on a survey of Broker Dealers, Investment Advisers and Hedge Funds, the paper offers insights into industry best practices. Included in the appendix is an industrial grade personal trading policy that can be customized in minutes for firms that are going through the IA registration process.

“I might as well say it. We are trying to attract attention,” says Tracey Straub, Compliance11’s Vice President of Strategy, author of the paper and former Compliance Officer. “Many people do not know that in the past 1-2 years the Cloud has made compliance automation affordable for all firms. It is no longer a question of if, but when.”

To get a free copy of the white paper go to www.compliance11.com.

ABOUT COMPLIANCE11

Compliance11 is the leading provider of cloud-based compliance automation software. Its Personal Trading module automates trade pre-clearance, receives confirms electronically and flags exceptions to firm policies.

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